



KNOW YOUR CLIENT (KYC) APPLICATION FORM

CHANGING DIMENSIONS

For Individuals

PHOTOGRAPH

Please fill this form in English and in BLOCK LETTERS with Black ink

Please affix your recent passport size photograph and sign across it

A. IDENTITY DETAILS (Please see guidelines overleaf)

1. Name of the Applicant <small>(As appearing in supporting identification documents)</small>											
Father's / Spouse Name											
2. a. Gender		<input type="checkbox"/> Male		<input type="checkbox"/> Female		b. Marital Status		<input type="checkbox"/> Single		<input type="checkbox"/> Married	
c. Date of Birth (dd/mm/yyyy)		D	D	M	M	Y	Y	Y	Y	3. Nationality	
										<input type="checkbox"/> Indian	<input type="checkbox"/> Other _____
4. Status (Please tick ✓)		<input type="checkbox"/> Resident Individual				<input type="checkbox"/> Non Resident				<input type="checkbox"/> Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)	
5. PAN <small>(Please enclose a duly attested copy of your PAN Card)</small>											
UID / Aadhar											
6. Proof of Identity submitted for PAN exempt cases (Please tick ✓)		<input type="checkbox"/> UID (Aadhar)		<input type="checkbox"/> Passport		<input type="checkbox"/> Voter ID		<input type="checkbox"/> Driving Licence		<input type="checkbox"/> Others _____ <small>(Please see guideline 'D' overleaf)</small>	

B. ADDRESS DETAILS (Please see guidelines overleaf)

1. Address for Correspondence											
		City/Town/Village				Pin Code					
		State		Country							
2. Contact Details Tel. (Off.)						Tel. (Res.)		Fax			
Mobile No.						E-mail ID					
3. Proof of address to be provided by Applicant, Please submit ANY ONE of the following valid document & tick (✓) against the document attached.											
<input type="checkbox"/> Passport		<input type="checkbox"/> Ration Card		<input type="checkbox"/> Registered Lease/Sale Agreement of Residence		<input type="checkbox"/> Driving Licence		<input type="checkbox"/> Voter Identity Card		<input type="checkbox"/> *Latest Bank A/c. Statement/Passport	
<input type="checkbox"/> *Latest Telephone Bill (only Landline)		<input type="checkbox"/> *Latest Electricity Bill		<input type="checkbox"/> *Latest Gas Bill		<input type="checkbox"/> Aadhar Card		<input type="checkbox"/> Others _____			
* Not more than 3 Months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy) ____/____/____											
4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant											
		City/Town/Village				Pin Code					
		State		Country							
5. Proof of address to be provided by Applicant, Please submit ANY ONE of the following valid document & tick (✓) against the document attached.											
<input type="checkbox"/> Passport		<input type="checkbox"/> Ration Card		<input type="checkbox"/> Registered Lease/Sale Agreement of Residence		<input type="checkbox"/> Driving Licence		<input type="checkbox"/> Voter Identity Card		<input type="checkbox"/> *Latest Bank A/c. Statement/Passport	
<input type="checkbox"/> *Latest Telephone Bill (only Landline)		<input type="checkbox"/> *Latest Electricity Bill		<input type="checkbox"/> *Latest Gas Bill		<input type="checkbox"/> Others _____					
* Not more than 3 Months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy) ____/____/____											



DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.



Signature of Applicant

Date : _____ (dd/mm/yyyy)

Place _____

FOR OFFICE USE ONLY

CD Equisearch Pvt. Ltd.

(Originals verified) Self Certified Document
copies received

(Attested) True copies of documents received
Main Intermediary

Signature _____

Name _____

Designation _____

Name of
Organisation **CD EQUISEARCH PVT. LTD.**

Date _____

Employee Name _____

Employee Code _____

Date _____

IPV Done on Date (dd/mm/yyyy) _____



Seal / Stamp of the Intermediary

Name _____

Designation _____

Name of Organisation **CD EQUISEARCH PVT. LTD.**

Signature _____

Date _____

AP Name _____

AP Code _____

Date _____



CHANGING DIMENSIONS

KNOW YOUR CLIENT (KYC) APPLICATION FORM

For Non-Individuals

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

Please fill this form in English and in BLOCK LETTERS with Black ink

A. IDENTITY DETAILS (Please see guidelines overleaf)

1. Name of the Applicant <small>(Please write complete name as per Certificate of incorporation/Registration, leaving one box blank between 2 words. Please do not abbreviate the Name)</small>																								
2. Date of incorporation (dd/mm/yyyy)		D	D	M	M	Y	Y	Y	Y	Place of Incorporation														
3. Registration No. (e.g. CIN)																								
Date of Commencement of Business		D	D	M	M	Y	Y	Y	Y															
4. Status (please tick [✓] any one)		<input type="checkbox"/> Private Limited Co.		<input type="checkbox"/> Public Ltd. Co.		<input type="checkbox"/> Body Corporate		<input type="checkbox"/> Partnership		<input type="checkbox"/> Trust/Charigies NGO's		<input type="checkbox"/> FI		<input type="checkbox"/> FII		<input type="checkbox"/> HUF		<input type="checkbox"/> AOP		<input type="checkbox"/> Bank		<input type="checkbox"/> Government Body		
		<input type="checkbox"/> Non-Government Organization		<input type="checkbox"/> Defence Establishment		<input type="checkbox"/> Body of Individuals		<input type="checkbox"/> Society		<input type="checkbox"/> LLP		<input type="checkbox"/> Others (please specify) _____												
5. Permanent Account Number (PAN) (Mandatory)																					Please enclose a duly attested copy of your PAN Card			

B. ADDRESS DETAILS (Please see guidelines overleaf)

1. Address for Correspondence																	
		City/Town/Village								Pin Code							
		State				Country											
2. Contact Details Tel. (Off.)						Tel. (Res.)				Fax							
Mobile No.						E-mail ID											
3. Proof of address to be provided by Applicant, Please submit ANY ONE of the following valid document & tick (✓) against the document attached.		<input type="checkbox"/> *Latest Telephone Bill (only Landline) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Bank A/c. Statement/Passport <input type="checkbox"/> *Registered Lease/Sale Agreement of Office Premises <input type="checkbox"/> Any Other Proof of Address document (as listed overleaf) (Please specify) _____ * Not more than 3 Months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy) _____ / _____ / _____															
4. Registration Address (If different from above)																	
		City/Town/Village								Pin Code							
		State				Country											
5. Proof of address to be provided by Applicant, Please submit ANY ONE of the following valid document & tick (✓) against the document attached.		<input type="checkbox"/> *Latest Telephone Bill (only Landline) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Bank A/c. Statement/Passport <input type="checkbox"/> *Registered Lease/Sale Agreement of Office Premises <input type="checkbox"/> Any Other Proof of Address document (as listed overleaf) (Please specify) _____ * Not more than 3 Months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy) _____ / _____ / _____															



C. OTHERS DETAILS

1. Name, PAN, Residential Address and Photographs of Promoters/Partners/Karta/Trustees and whole time directors :

2. a) DIN of whole time directors : _____

b) Aadhar number of Promoters/Partners/Karta : _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

 _____
Name of Signature of Authorised Person(s)

Date : _____ (dd/mm/yyyy)

Place _____

FOR OFFICE USE ONLY

CD Equisearch Pvt. Ltd.

(Originals verified) Self Certified Document copies received

(Attested) True copies of documents received Main Intermediary

Signature _____

Name _____

Designation _____

Name of Organisation **CD EQUISEARCH PVT. LTD.**

Date _____

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____		PAN of the Applicant _____					
Sr. No.	PAN	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, wholetime directors etc.)	Whether Politically Exposed	Photography
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

Name & Signature of Authorised Signatory _____

Date _____

PEP : Politically Exposed Person **RPEP** : Related to Politically Exposed

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS :

1. Self attested copy of PAN card is mandatory for all clients including Promoters/Partners/Karta/Trustees & Wholetime Directors and Persons authorised to deal in securities on behalf of company/firm/others.
 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
 3. If any proof of identity or address is in a foreign language, then translation into English is required.
 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
 6. Sole proprietor must make the application in his individual name & capacity.
 7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIO Card/OCI Card and overseas address proof is mandatory.
 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
 10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc. ,
2. Utility bills like Telephone Bill (only land line). Electricity bill or Gas bill - Not more than 3 months old.
 3. Bank Account Statement/Passbook - Not more than 3 months old.
 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
 5. Proof of address issued by any of the following : Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc to their Members.
 7. For FII/sub account, Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
 8. The proof of address in the name of the spouse may be accepted.

B. PROOF OF IDENTITY (POI) : List of documents admissible as Proof of Identity :

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport/ Voter ID card /Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/ Debit cards issued by Banks.

C. PROOF OF ADDRESS (POA) :

List of documents admissible as Proof of Address : ("Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/ Flat Maintenance bill/Insurance Copy.

D. EXEMPTIONS/CLARIFICATIONS TO PAN :

("Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government by officials appointed by Courts e.g. Official liquidator. Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents :

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. IN CASE OF NON-INDIVIDUALS, ADDITIONAL DOCUMENTS TO BE OBTAINED FROM NON-INDIVIDUALS, OVER & ABOVE THE POI & POA AS MENTIONED BELOW :

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) ● Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations ● Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly ● Copies of the Memorandum and Articles of Association and certificate of incorporation ● Copy of the Board Resolution for investment in securities market ● Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Certificate of registration (for registered partnership firms only) ● Copy of partnership deed ● Authorised signatories list with specimen signatures ● Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Certificate of registration (for registered trust only) Copy of Trust deed ● List of trustees certified by managing trustees/CA ● Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> ● PAN of HUF ● Deed of declaration of HUF/List of coparceners ● Bank pass-book/bank statement in the name of HUF ● Photograph, POI, POA, PAN of Karta
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> ● Proof of Existence/Constitution document ● Resolution of the managing body & Power of Attorney granted to transact business on its behalf ● Authorized signatories list with specimen signatures
Banks / Institutional Investors	<ul style="list-style-type: none"> ● Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years ● Authorized signatories list with specimen signatures
Foreign / Institutional Investors (FII)	<ul style="list-style-type: none"> ● Copy of SEBI registration certificate ● Authorized signatories list with specimen signatures
Army / Government Bodies	<ul style="list-style-type: none"> ● Self-certification on letterhead ● Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> ● Copy of Registration Certificate under Societies Registration Act ● List of Managing Committee members ● Committee resolution for persons authorised to act as authorised signatories with specimen signatures ● True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Please submit the KYC Documents on A4 size paper only

TRADING ACCOUNT RELATED DETAILS (For Individuals & Non-Individuals)

A. BANK ACCOUNT DETAILS

	First Bank Details	Second Bank Details
Bank Name		
Branch Address		
Bank A/c No.		
A/c Type	<input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> Others-in-case of NRI/NRE/NRO	<input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> Others-in-case of NRI/NRE/NRO
MICR No.		
IFSC Code		

B. DEPOSITORY ACCOUNT(S) DETAILS

	First Demat A/c. Details	Second Demat A/c. Details
DP Name		
Depository Name	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL
Beneficiary Name		
DP ID		
Beneficiary ID (BO ID)		

C. TRADING PREFERENCE

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

NSE-CM	<input type="checkbox"/>	BSE-CM	<input type="checkbox"/>
NSE-F&O	<input type="checkbox"/>		

If, in future, the client wants to trade on any new segment/new exchange, separate, authorization/letter should be taken from the client by the stock broker.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in change of dealing in securities during the last 3 years.

E. DEALING THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

● If Client is dealing through the sub-broker, provide the following details :			
Sub-broker's Name			
SEBI Registration Number			
Registered Office Address		Phone	
		Website	
FAX			
● Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers / sub-brokers, provide details of all)			
Name of Stock Broker			
Name of Sub-broker, if any			
Client Code		Exchange	
Details of disputes / dues pending from / to such stock broker / sub-broker			

F. ADDITIONAL DETAILS

- Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :
Specify your E-mail ID, if applicable E-mail ID _____
- Whether you wish to avail of the facility of internet trading / wireless technology (please specify) :
- Number of years of Investment / Trading Experience No Prior Experience
_____ Years in Cash Segment/Stock _____ Years in Derivatives _____ Years in any other investment related field
- In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company / firm / others : See Annexure B
- Any other information :

G. INTRODUCER DETAILS (optional)

Name of the Introducer	(Surname) _____ (Name) _____ (Middle Name) _____
Status of Introducer	<input type="checkbox"/> Sub-Broker <input type="checkbox"/> Remisier <input type="checkbox"/> AP <input type="checkbox"/> Existing Client <input type="checkbox"/> Other (pls. specify) _____
Address of the Introducer	_____
Signature of the Introducer	_____ Phone No. _____

H. NOMINATION DETAILS (for individuals only)

<input type="checkbox"/> I/We wish to nominate	<input type="checkbox"/> I/We do not wish to nominate
Name of the Nominee	Date of Birth of Nominee
Relationship with the Nominee	PAN of Nominee
Address & Ph. No. of the Nominee	_____
IF NOMINEE IS A MINOR, DETAILS OF GUARDIAN	
Name of Guardian	_____
Address of Guardian	_____
Signature of Guardian	_____ Phone No. _____
WITNESSES (Only applicable in case the account holder has made nomination)	
Name _____	Signature _____ Address _____
Name _____	Signature _____ Address _____

I. OTHER DETAILS (Please see guidelines overleaf)

1. Gross Annual Income Details	<input type="checkbox"/> < Rs 1L <input type="checkbox"/> 1-5L <input type="checkbox"/> 5-10L <input type="checkbox"/> 10-25L <input type="checkbox"/> 25L-1Cr. <input type="checkbox"/> >1Cr.
2. Net-worth in ₹	_____ as on date (dd/mm/yyyy) _____ (Net worth should not be older than 1 year)
3. Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____
4. Is the entity involved / providing any of the following services	<input type="checkbox"/> Yes <input type="checkbox"/> No For Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> Yes <input type="checkbox"/> No Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No
5. Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) For definition of PEP, please refer guideline overleaf
6. Any other information	_____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
4. Please provide the account opening kit in physical format. Yes No

Place _____ Date _____

 _____
Signature of Client (all) Authorized Signatory(ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client : _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee/SB/AP			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For CD EQUISEARCH PVT. LTD.

Director / Authorised Signatory

Date _____

INSTRUCTIONS / CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list :

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration with relevant supporting documents

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals :
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy/ Consulate General in the country where the client resides may be permitted.
5. For non-individuals :
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

Tariff Sheet

(Service Tax, Stamp Charges, Transaction Charges and other charges, if any shall be levied as per the rate stipulated by concerned Authority)

Segment	Trading : 1st Leg		Trading : 2nd Leg		Delivery	
	Minimum		Minimum		Minimum	
	%	Paisa	%	Paisa	%	Paisa
BSE Cash						
NSE Cash						
NSE F&O						
NSE F&O Options (per lot)						

Notes :

1. Client will be charged Rs. 500/- as an account opening charges for the Equity Segment.
2. Client using Diet ODIN will be charged Rs. 500/- (inclusive of service tax) on monthly basis.
3. Client will be charged Rs. 99/- per month towards Value Added Services.

I/We have understood and accepted the Schedule of Charges as stated above.



ONLY FOR OFFICIAL USE

Approved by (Regional Head)

Name _____
(BM or CM/RM/SB/Dearler)

Name _____

Signature _____

Signature _____